

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Robert J. Small and Brandon S. Scott

Assignee: EKC Technology, Inc.

Title: Catalytic Composition For Chemical-Mechanical Polishing, Method of Using Same, and Substrate Treated With Same

Serial No.: 10/074,757

Filing Date: February 11, 2002

Examiner: unknown

Group Art Unit: 2812

Docket No.: M-12412 US

San Francisco, California  
June 18, 2002COMMISSIONER FOR PATENTS  
Washington, D.C. 20231INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(b)

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Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO-1449 are called to the attention of the Examiner for the above patent application. Copies of these documents are enclosed.

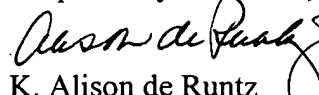
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Respectfully submitted,

  
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U.S. Department of Commerce, Patent and Trademark Office						Atty Docket No.	Serial No.
						M-12412 US	10/074,757
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)						Applicants	
						Robert J. Small and Brandon S. Scott	
						Filing Date	Group
						February 11, 2002	2812

U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	3,139,406	Jun. 30, 1964	Mindick et al.			
	AB	4,252,671	Feb. 24, 1981	Smith			
	AC	4,478,742	Oct. 23, 1984	Payne			
	AD	4,959,113	Sep. 25, 1990	Roberts			
	AE	5,234,880	Aug. 10, 1993	Cook et al.			
	AF	5,340,370	Aug. 23, 1994	Cadien et al.			
	AG	5,527,423	Jun. 18, 1996	Neville et al.			
	AH	5,735,963	Apr. 7, 1998	Obeng			
	AI	5,785,868	Jul. 28, 1998	Li et al.			
	AJ	5,948,697	Sep. 7, 1999	Hata			
	AK	5,958,794	Sep. 28, 1999	Bruxvoort et al.			
	AL	5,976,480	Nov. 2, 1999	Mangold et al.			
	AM	5,980,775	Nov. 9, 1999	Grumbine et al.			
	AN	6,030,425	Feb. 29, 2000	Hata			
	AO	6,140,239	Oct. 31, 2000	Avanzino et al.			
	AP	6,177,026	Jan. 23, 2001	Wang et al.			
	AQ	6,214,098	Apr. 10, 2001	Lee			
	AR	6,270,395	Aug. 7, 2001	Towery et al.			

Foreign Patent Documents							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	AS	1 020 488 A2	Jul. 19, 2000	Europe				
	AT	1 020 501 A2	Jul. 19, 2000	Europe				
	AU	1 036 836 A1	Sep. 20, 2000	Europe				
	AV	99/53532	Oct. 21, 1999	PCT				
	AW	01/32794 A1	May 10, 2001	PCT				

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
AX	R. G. R. Bacon, "The Initiation of Polymerisation Processes By Redox Catalysts," <i>Quarterly Reviews</i> , pages 287-310.

		Cheves Walling, "Free Radicals in Solution," <i>John Wiley &amp; Sons, Inc.</i> , (1957), pages 564-579.
AZ		Benjamin S. Lane and Kevin Burgess, "A Cheap, Catalytic, Scalable, and Environmentally Benign Method for Alkene Epoxidations," <i>American Chemical Society vol. 123(12)</i> , (2001), pages 2933-2934.
BA		Abstract for, "Comparison of Mineral and Soluble Iron Fenton's Catalysts For the Trichloroethylene", <i>Water Research vol. 35(4)</i> , (2001), pages 977-984.
Examiner	Date Considered	
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.		

